FORM 4

### **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

| O | MB | AP | PR | O\ | /AI |
|---|----|----|----|----|-----|
|   |    |    |    |    |     |

| OMB Number:             | 3235-0287 |
|-------------------------|-----------|
| Estimated average burde | n         |
| hours per response:     | 0.5       |

| ı | Check this box if no longer subject to   |
|---|--|
| l | Section 16. Form 4 or Form 5 obligations |
| l | may continue. See Instruction 1(b).      |

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Nixon James Craig |   |       | 2. Issuer Name and Ticker or Trading Symbol DUOS TECHNOLOGIES GROUP, INC. [ DUOT ]   |  | onship of Reporting Person(s) all applicable) Director Officer (give title | (s) to Issuer  10% Owner  Other (specify |  |  |
|---|---|-------|--|--|--|--|--|--|
| (Last) 7660 CENTURION                                       | , |       | 3. Date of Earliest Transaction (Month/Day/Year) 06/30/2023  |  | below)   | below)                                   |  |  |
| SUITE 100  (Street)   |   |       | 4. If Amendment, Date of Original Filed (Month/Day/Year)   | Individual or Joint/Group Filing (Check Applicable     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |  |  |  |  |
| JACKSONVILLE  | FL                                      | 32256 | Rule 10b5-1(c) Transaction Indication  |  |  |  |  |  |
| (City) (State) (Zip)  |   | (Zip) | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |  |  |  |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |   |                                    | 5. Amount of<br>Securities<br>Beneficially Owned<br>Following Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Beneficial<br>Ownership |
|---------------------------------|--|---|---|---|---|---|------------------------------------|--|---|-------------------------|
|                                 |  |   | Code                                    | v | Amount (A) or (D) Price   |   | Transaction(s)<br>(Instr. 3 and 4) |  | (Instr. 4)  |                         |
| Common Stock, \$0.001 par value | 06/30/2023                                 |   | <b>A</b> <sup>(1)</sup>                 |   | 2,171   | A | \$5.7592                           | 31,245   | D   |                         |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security (Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code (Ir | Transaction Derivative Code (Instr. Securities |           | Expiration Day/\(\) | 6. Date Exercisable and Expiration Date (Month/Day/Year)  7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                    |       | Derivative<br>Security<br>(Instr. 5) | derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | Ownership<br>Form:<br>Direct (D) | Beneficial<br>Ownership<br>(Instr. 4) |  |
|--|---|---|----------|--|-----------|---------------------|---|--------------------|-------|--------------------------------------|--|----------------------------------|---------------------------------------|--|
|  |   |   | Code     | v  | ' (A) (D) |                     | Date<br>Exercisable   | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of Shares  |  | Transaction(s)<br>(Instr. 4)     |                                       |  |

#### Explanation of Responses:

1. These shares were issued to Mr. Nixon as compensation shares for his services as a Director of the Issuer.

/s/ James Craig Nixon

07/05/2023

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.