FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL								
OMB Number: 3235-0287								
Estimated average burden								
hours per response:	0.5							

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

					0	r Sec	ction 30(h)	) of the In	vestment	Com	pany Act of	1940							
1. Name and Address of Reporting Person* PESSIN NORMAN H				2. Issuer Name and Ticker or Trading Symbol DUOS TECHNOLOGIES GROUP, INC. [ DUOT ]								Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X 10% Owner							
(Last) 400 E. 51ST S	(First)	(M	iddle)		3. Da	Date of Earliest Transaction (Month/Day/Year) /27/2022								Officer (g below)	ve title		Other (s below)	(specify v)	
PH 31					4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv	Individual or Joint/Group Filing (Check Applicable Line)     Form filed by One Reporting Person     X Form filed by More than One Reporting Person						
(Street) NEW YORK NY 10022												X							
(City)	(State)	(Zi	p)																
		Та	ble I - No	n-Deri	ivativ	/e S	ecuritie	es Acq	uired, l	Disp	osed of,	, or Be	nefic	ially Ow	/ned				
Date				Date	nsaction		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a			nd 5) Securities Beneficial Following		Form:	nership Direct (D) irect (I) 4)	7. Nature of Indirect Beneficial Ownership	
									Code V		Amount	(A (D	() or ()	Price	Transaction(s) (Instr. 3 and 4)				(Instr. 4)
Common Stock <sup>(1)</sup>													57,972		D				
Common Stock <sup>(1)</sup>				09/2	27/2022		С		121,57	121,571 A		\$7	887,728		D(2)(4)				
Common Stock <sup>(1)</sup> 09/				09/3	30/2022		P		333,33	34	Α	\$3	1,221,062		<b>D</b> (2)(5)				
Common Stock	n Stock <sup>(1)</sup>													180,	911		D <sup>(3)</sup>		
			Table II - I								sed of, o				ed				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	(Month/Day/Year) e of vative	3A. Deemed Execution Date if any (Month/Day/Yea	ate, Transac Code (In		ction	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/Y		sable and te	7. Title and A Securities Ur Derivative Se (Instr. 3 and 4		nount of derlying curity	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securities Beneficia Owned Following Reported Transacti	e C s i lly i	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Co	Code	v	(A)	(D)	Date Exercisa	able	Expiration Date	Title		Amount or Number of Shares		(Instr. 4)	11(5)		
1. Name and Addr		-																	
(Last) (First) (Middle) 400 E. 51ST STREET PH 31																			
,						_													

PESSIN NORI	MAN H		
(Last)	(First)	(Middle)	
400 E. 51ST STR	EET		
PH 31			
(Street)			
NEW YORK	NY	10022	
(City)	(State)	(Zip)	
1. Name and Address	of Reporting Person*		
Pessin Brian L	<u>.</u>		
(Last)	(First)	(Middle)	
65 E. 76TH STRE	EET		
APARTMENT 3I	DE		
(Street)			
NEW YORK	NY	10021	
(City)	(State)	(Zip)	

1. Name and Address PESSIN SANI			
(Last) 400 E. 51ST STR PH 31	(First) EET	(Middle)	
(Street) NEW YORK	NY	10022	
(City)	(State)	(Zip)	

## **Explanation of Responses:**

- 1. This Form 4 is filed jointly by Norman H. Pessin, Brian L. Pessin and Sandra F. Pessin (collectively, the "Reporting Persons"). Each Reporting Person may be deemed to be a member of a Section 13(d) group that may be deemed to collectively beneficially own more than 10% of the Issuer's outstanding shares of common stock. Each Reporting Person disclaims beneficial ownership of the shares of common stock reported herein except to the extent of his or her pecuniary interest therein, and this report shall not be deemed to be an admission that any Reporting Person is the beneficial owner of such shares of common stock for purposes of Section 16 or for any other purpose.
- 2. Shares beneficially owned by Sandra F. Pessin, Norman H. Pessin's spouse. Norman H. Pessin expressly disclaims beneficial ownership of such shares except to the extent of his pecuniary interest therein.
- 3. Shares beneficially owned by Brian L. Pessin. Norman H. Pessin and Sandra F. Pessin expressly disclaim beneficial ownership of such shares.
- 4. Sandra F. Pessin converted 851 shares of Series B Preferred Stock into 121,571 shares of Common Stock on September 27, 2022.
- 5. Sandra F. Pessin purchased 333,334 shares of Common Stock through a private placement on September 30, 2022.

 /s/ Norman H. Pessin
 10/06/2022

 /s/ Sandra F. Pessin
 10/06/2022

 /s/ Brian L. Pessin
 10/06/2022

 \*\* Signature of Reporting Person
 Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.