SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN E

Filed pursuant to Section 16(a) of the Se or Section 30(h) of the Investmen

| BENEFICIAL OWNER | OMB Number: Estimated average burden hours per response: | 0.5 | | | | | |
|--|--|-----|--|--|--|--|--|
| | nours per response. | 0.5 | | | | | |
| curities Exchange Act of 1934 t Company Act of 1940 | | | | | | | |
| g Symbol | 5. Relationship of Reporting Person(s) to Issuer | | | | | | |

OMB APPROVAL

| 1. Name and Address of Reporting Person* <u>Murphy Andrew Winslow</u> | | | 2. Issuer Name and Ticker or Trading Symbol DUOS TECHNOLOGIES GROUP, INC. DUOT] | | | | | | | | (Checl | ationship of F all applicab Director Officer (o | le) | Person | (s) to Issuer 10% Ov Other (s | | | | |
|--|---|--|--|--|--|------|----------|--|--|---|------------------------|--|---|--|---|---|--|----------|--|
| (Last) (First) (Middle) 7660 CENTURION PARKWAY | | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/17/2022 | | | | | | | | | | below) | | FO | below) | | |
| SUITE 100 | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person | | | | | | |
| (Street) JACKSONVII | LLE FL | 32256 | | | | | | | | | | | | | Form filed by One Reporting Person Form filed by More than One Reporting | | | Person | |
| (City) | (State) | (Zi | p) | | | | | | | | | | | | | | | | |
| | | Та | ble I - No | n-Der | ivativ | e Se | ecuritie | s Acq | uired, I | Disp | osed of, | , or l | Benefi | cially Ov | vned | | | , | |
| Date | | | 2. Transaction Date (Month/Day/Year) | | Execution Date, Year) if any | | | | ties Acquired (A) or I Of (D) (Instr. 3, 4 ar | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | | | | | | Code | v | Amount | | (A) or (D) | Price | (Instr. 3 and 4) | | | | (1150.4) | |
| Common Stock, \$0.001 par value 11/2 | | | | 17/202 | 2 | | | Α | | 325 | | Α | \$2.9782 | 2.9782 425 | | | D | | |
| | | | Table II - | | | | | | | | sed of, o nvertible | | | | ed | | | | |
| Derivative Conversion | ion Date (Month/Day/Year) Executio if any (Month/D | 3A. Deemed Execution D if any (Month/Day/ | Date, Transaction Code (Inst | | | | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amou Securities Underl Derivative Securi (Instr. 3 and 4) | | derlying curity | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported | e s illy 9 | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | Code V | | (A) | (D) | Date Exercisable | | Expiration Date | or Ni | | Amount or Number of Shares | | Transacti (Instr. 4) | ion(s) | n(s) | |

Explanation of Responses:

/s/ Andrew W. Murphy

11/21/2022 ** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.